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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known <table border="1"> <tr> <td>Application Number</td> <td>09/967,045</td> </tr> <tr> <td>Filing Date</td> <td>October 1, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>KRAEHENBUEHL, ET AL.</td> </tr> <tr> <td>Art Unit</td> <td>2108 3691</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>SRE0001-US</td> </tr> </table>		Application Number	09/967,045	Filing Date	October 1, 2001	First Named Inventor	KRAEHENBUEHL, ET AL.	Art Unit	2108 3691	Examiner Name	Not Yet Assigned	Attorney Docket Number	SRE0001-US
Application Number	09/967,045														
Filing Date	October 1, 2001														
First Named Inventor	KRAEHENBUEHL, ET AL.														
Art Unit	2108 3691														
Examiner Name	Not Yet Assigned														
Attorney Docket Number	SRE0001-US														
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>															
Sheet	1	of	2												

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Examiner Signature	/Bijendra K Shrestha/	Date Considered	12/05/2006
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/967,045
		Filing Date	October 1, 2001
		First Named Inventor	KRAEHNBUHL, ET AL.
		Art Unit	2186 36A1
		Examiner Name	Not Yet Assigned
Sheet 2	of 2	Attorney Docket Number	SRE0001-US

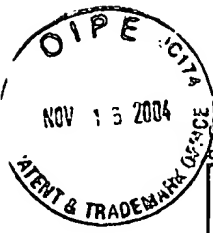
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2		Complete if Known	
		Application Number	09/967,045
		Filing Date	October 1, 2001
		First Named Inventor	KRAEHNBUHL ET AL.
		Art Unit	2466 3691
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	SRE0001-US

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code ² (if known)			
BKS ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		US-5,657,460	08/12/1997	Egan et al.	
		US-5,704,029	12/30/1997	Wright, Jr.	
		US-5,758,126	05/26/1998	Daniels et al.	
		US-5,832,465	11/03/1998	Tom	
		US-5,966,716	10/12/1999	Cornet et al.	
		US-5,978,820	11/02/1999	Mase et al.	
		US-5,983,268	11/09/1999	Freivald et al.	
		US-6,084,585	07/04/2000	Kraft et al.	
		US-6,137,488	10/24/2000	Kraft et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/967,045
		Filing Date	October 1, 2001
		First Named Inventor	KRAEHENBUEHL ET AL.
		Art Unit	2166
		Examiner Name	Not Yet Assigned
Sheet 2 of 2	Attorney Docket Number	SRE0001-US	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitution for form 1449A/PTO <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p>(use as many sheets as necessary)</p>		<h2>C mplet if Known</h2>	
Application Number		09/967,045	
Filing Date		October 1, 2001	
First Named Inventor		KRAEHENBUEHL, ET AL.	
Art Unit		2100 3691	
Examiner Name		Not Yet Assigned	
Attorney Docket Number		SRE0001-US	

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Examiner Signature	/Bijendra K Shrestha/	Date Considered	12/05/2006
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		Application Number	09/967,045		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 1, 2001		
		First Named Inventor	KRAEHNBUHL, ET AL.		
		Art Unit	2168 3691		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	4	Attorney Docket Number	SRE0001-US

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number- Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BKS		US-5,191,522	03/02/1993	Bosco et al.	
		US-5,573,244	11/12/1996	Mindes	
		US-5,704,045	12/30/1997	King et al.	
		US-5,752,237	05/12/1998	Cherny	
		US-5,752,236	05/12/1998	Sexton et al.	
		US-5,754,980	05/19/1998	Anderson et al.	
		US-5,806,042	09/08/1998	Kelly et al.	
		US-5,839,113	11/17/1998	Federau et al.	
		US-5,842,148	11/24/1998	Prendergast et al.	
		US-5,842,921	12/01/1998	Mindes et al.	
		US-5,852,808	12/22/1998	Cherny	
		US-5,873,066	02/16/1999	Underwood et al.	
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		US-6,049,773	04/11/2000	McCormack et al.	
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		US-6,321,212	11/20/2001	Lange	
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		US-6,526,386	02/25/2003	Chapman et al.	
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		20010027437	10/04/2001	Turbeville et al.	
		20010028364	10/11/2001	Fredell et al.	
		20010037274	11/01/2001	Monticciolo	
		20010044734	11/22/2001	Walker et al.	
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		20010053986	12/20/2001	Dick	
		20020002475	01/03/2002	Freedman et al.	
		20020004731	01/10/2002	Belben	
		20020029158	03/07/2002	Wolff et al.	
		20020032586	03/14/2002	Joao	
		20020032646	03/14/2002	Sweeney et al.	
		20020035489	03/21/2002	Herman et al.	
		20020035528	03/21/2002	Simpson et al.	
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		20020046066	04/18/2002	Laurenzano	
		20020049617	04/25/2002	Lencki et al.	
		20020069077	06/06/2002	Brophy et al.	
		20020069155	06/06/2002	Nafeh et al.	
		20020077866	06/20/2002	Javerlhac	
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		20020078046	06/20/2002	Uluakar et al.	
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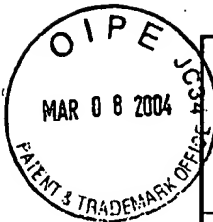
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 4

Complete if Known

Application Number	09/967,045
Filing Date	October 1, 2001
First Named Inventor	KRAEHENBUEHL, ET AL.
Art Unit	2106 3651
Examiner Name	Not Yet Assigned
Attorney Docket Number	SRE0001-US

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BKS		20020082875	06/27/2002	Best-Devereux	
		20020091553	07/11/2002	Callen et al.	
		20020091624	07/11/2002	Glodjo et al.	
		20020091991	07/11/2002	Castro	
		20020095317	07/18/2002	McCabe	
		20020099640	07/25/2002	Lange	
		20020111833	08/15/2002	Dick	
		20020116227	08/22/2002	Dick	
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		20020143583	10/03/2002	Reader et al.	
		20020143584	10/03/2002	Lundegren	
		20020147670	10/10/2002	Lange	
		20020152098	10/17/2002	Evans et al.	
		20020156656	10/24/2002	Harrell et al.	
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		20020174046	11/21/2002	Mistretta	
		20020188540	12/12/2002	Fay et al.	
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		20020194131	12/19/2002	Dick	
		20020198802	12/26/2002	Koresko, V	
		20030004759	01/02/2003	Chapman et al.	
		20030009355	01/09/2003	Gupta	
		20030009359	01/09/2003	Weidner et al.	
		20030014342	01/16/2003	Vande Pol	
		20030018497	01/23/2003	Luedtke	
		20030018576	01/23/2003	Zuckerbrot et al.	
		20030023544	01/30/2003	Chodes	
		20030028405	02/06/2003	Chapman et al.	
		20030028479	02/06/2003	Kirksey	
		20030046115	03/06/2003	Hisano	
		20030055778	03/20/2003	Erlanger	
		20030061075	03/27/2003	Heckman et al.	
		20030065540	04/03/2003	Callen et al.	
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		20030078815	04/24/2003	Parsons	
		20030078816	04/24/2003	Filep	
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		20030088430	05/08/2003	Ruark	
		20030115128	06/19/2003	Lange et al.	
		20030125108	07/03/2003	Groz	
		20030126155	07/03/2003	Parker et al.	
		20030130920	07/10/2003	Freund	
BKS		20030135395	07/17/2003	Carfi et al.	

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Substitute for form 1449A/PTO			<i>Compleat If Kn wn</i>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	09/967,045	
			Filing Date	October 1, 2001	
			First Named Invent r	KRAEHENBUEHL, ET AL.	
			Art Unit	2108 <u>3691</u>	
			Examiner Name	NRE Yet Assigned	
			Attorney Docket Number	SRE0001-US	
Sheet	3	of	4		

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FOREIGN PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	4
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Complete if Known

Application Number	09/967,045
Filing Date	October 1, 2001
First Named Inventor	KRAEHNENBUEHL, ET AL.
Group Art Unit	3466 3691
Examiner Name	Not Yet Assigned
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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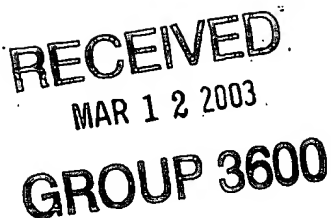
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		Filing Date	October 1, 2001
		First Named Inventor	KRAEHNENBUHL, ET AL.
		Art Unit	2166-3691
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Sheet	1	of	2

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/967,045		
		Filing Date	October 1, 2001		
		First Named Inventor	KRAEHENBUEHL, ET AL.		
		Group Art Unit	2466 3691		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	SRE0001-US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
BKS		"Overview of Riskclick," http://www.riskclick.com	<input type="checkbox"/>
		"Reinsurance for the Third Millennium," http://marketing.r3k.com	<input type="checkbox"/>
		"Inreon," http://www.inreon.com	<input type="checkbox"/>
		"Smartfac," http://www.smartfac.com	<input type="checkbox"/>
BKS		"Platform - eReinsure," http://www.ereinsure.com	<input type="checkbox"/>
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Examiner Signature	/Bijendra K Shrestha/	Date Considered	10/13/2006
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INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

SRE0001-

Application Number

09/967,045

Applicant(s)

MARTIN KRAEHENBUEHL et al.

Filing Date

October 1, 2001

Group Art Unit

2166-3691

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Article entitled "Questions of Reinsurance" A Short Guide 1998

Article entitled "An Introduction to Rating Casualty Business" by Ronald Grunig et al. 2000

ManageReinsurance User Guide version 1.1 December 5, 2001

Article entitled "An Introduction to Reinsurance" 1996

Insurance and Reinsurance Industry Business Methods and Software Intellectual Property Prior Art, December 2001

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